


Disclosure of Information ¹
Revised November 3, 2011
Pursuant to 18 C.F.R. § 358.7(a) (November 2008)


Date Posted	Message
11/3/2011	<p>On Wednesday, November 2, 2011, the November 2011 edition of the LG&E/KU Energy Services newsletter was circulated. This document contained non-public transmission function information which may have been viewed by marketing function employees. Specifically, the following information was disclosed:</p> <p>“Six 138kV circuits that run from the Cane Run plant to the switching station must be relocated.”</p> <p>“Transmission also is planning to bring a 345-kV circuit from Indiana across the river to tie into the new switchyard and substation.”</p>
11/3/2011	<p>On Tuesday, November 1, 2011, a document containing non-public transmission customer information was inadvertently shared with an LG&E/KU marketing function employee.</p>
10/25/2011	<p>On Wednesday, October 19, 2011, an LG&E/KU transmission function employee inadvertently shared non-public transmission function information with an LG&E/KU marketing function employee. Specifically, the following information was disclosed:</p> <p>There is a planned West Cliff to Dix Dam 69kV line outage and a 69kV bus outage at Dix Dam during the timeframe of November 14, 2011 through mid-December 2011.</p>
6/7/2011	<p>On Tuesday, June 7, 2011, an LG&E/KU transmission function employee inadvertently shared non-public transmission function information with an LG&E/KU marketing function employee. While this information was posted on the publicly available LGEE Control Area Forced Outages document (see entry highlighted in yellow in the attached document), the information shared in the email was more detailed than the information posted. Specifically shared in the email, but not included in the posting:</p> <ul style="list-style-type: none"> ○ Based on Digital Fault Recorder data, LG&E/KU did experience a fault on our 161kV system in the Madisonville area on June 4, 2011 at 10:34 a.m., 10:57 a.m., and 11:03 a.m. The facilities are back in service at this time, but there is still risk of another fault until the problem is discovered. There have been two aerial inspections and one ground inspection but the exact cause has yet to be determined. <div style="text-align: center;">  <p>Adobe Acrobat Document</p> </div>
5/3/2011	<p>On Friday, April 29, 2011, it was discovered that an LG&E/KU Transmission</p>

Note(s): 1.- Posting may also be made on LGEE OASIS administered by the SPP-ITO at:
<http://www.oatioasis.com/LGEE/index.html>.

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	<p>Function Employee inadvertently shared non-public transmission function information in a meeting, occurring on April 15, 2011, where a Marketing Function Employee was present. At the time, the LG&E/KU Transmission Function Employee believed that the information being shared was posted on the publicly available LGEE Control Area Forced Outages document. While this outage <i>was</i> posted on the publicly available site (see entry highlighted in yellow in the attached document), the information shared in the meeting was more detail than the information posted. Specifically discussed in the meeting, but not included in the outage posting:</p> <ul style="list-style-type: none">○ Failed West Frankfort substation transformer is the largest class in LG&E/KU fleet (345kV) and is currently being replaced with a spare of this size.○ A possible process improvement is to include a condition assessment after major transmission issues since this transformer had been previously subjected to faults during previous Frankfort outages.  <p>Adobe Acrobat Document</p>
1/4/2011	On Tuesday, December 28, 2010, LG&E/KU's ITO inadvertently shared non-public transmission customer information with an LG&E/KU Marketing Function Employee.
1/4/2011	On Tuesday, December 14, 2010, an LG&E/KU Shared Employee inadvertently shared non-public transmission function critical energy infrastructure information ("CEII") with an LG&E/KU Marketing Function Employee.
12/21/2010	On Monday, December 20, 2010, an LG&E/KU Transmission Function Employee inadvertently shared non-public transmission customer information with an LG&E/KU Marketing Function Employee.
6/25/2010	On Wednesday, June 23, 2010, two LG&E/KU Marketing Function Employees inadvertently viewed non-public transmission customer information.
5/19/2010	On Wednesday, May 19, 2010, an LG&E/KU Transmission Function Employee inadvertently shared non-public transmission customer information with an LG&E/KU Marketing Function Employee.
01/15/2010	On December 22, 2009, in connection with enhancements being made to the Energy Management System ("EMS"), a display was put in service that allowed all EMS users, including LG&E/KU marketing function employees, to view limited non-public transmission customer information regarding historic load amounts. This was noticed and corrected on January 13, 2010.
11/12/2009	On Thursday, November 12, 2009, an LG&E and KU Services Company employee subject to the No Conduit Rule e-mailed transmission customer information to some Marketing Function Employees.

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11/2/2009	<p>On Monday, November 2, 2009, an LG&E and KU Services Company employee subject to the No Conduit Rule e-mailed non-public transmission function information to a Marketing Function Employee. The same information was provided in a meeting on November 4, 2009. The non-public transmission function information was –</p> <ol style="list-style-type: none">1. Jan. 16: West Frankfort 138/69 kV transformer failed Sunday November 23. The transformer will be replaced with the spare 138/69 Transformer at the EOC. Work in progress to make that move.2. June 19: Ghent 944 breaker failed catastrophically during checkout. Replacement is in progress.
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